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Attorney Docket No.: PATENT  
SONY-26800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Xin Xue

Serial No.: 10/666,889

Filed: September 17, 2003

For: **METHOD OF AND SYSTEM FOR  
AUTHENTICATION  
DOWNLOADING**

) Group Art Unit: 2135

) Examiner: To, Bao Tran N.

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No.: 28960

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon are enclosed, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 13, 2007

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.  
Date: 2/13/07 By: [Signature]



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Filed: September 17, 2003	)	<b><u>INFORMATION DISCLOSURE</u></b>
For: <b>METHOD OF AND SYSTEM FOR</b>	)	<b><u>STATEMENT UNDER 37 CFR § 1.97(e)</u></b>
<b>AUTHENTICATION</b>	)	162 North Wolfe Road
<b>DOWNLOADING</b>	)	Sunnyvale, CA 94086
	)	(408) 530-9700
	)	Customer No.: 28960

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed information disclosure statement were cited within an Official Action mailed on January 26, 2007. **Accordingly, I hereby certify that no reference contained within this information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. 37 C.F.R. 1.97(e)(2)**

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HAVERSTOCK & OWENS LLP.

Date: 2/13/07 By: [Signature]

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 13, 2007

By: Jonathan O. Owens  
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Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Publication No. 2004/0205263 A1;
- U.S. Patent No. 6,341,316 B1; and
- U.S. Patent No. 7,016,966 B1.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 13, 2007

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

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Attorneys for Applicant

HAVERSTOCK & OWENS LLP  
Date: 2/13/07 By: [Signature]

FORM PTO-1449  
(Modified)

FEB 16 2007

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: SONY-26800

Serial No.: 10/666,889

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets If Necessary)

Applicant: Xin Xue

Filing Date: September 17, 2003

Group Art Unit: 2135

(37 CFR § 1.98(b))

## U.S. PATENT DOCUMENTS

Examiner Initials		Publ No./ Patent No.	Publication Date/ Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	2004/0205263 A1	10/14/04	Sivaraman et al.	710	21	11/8/02
	AB	6,341,316 B1	1/22/02	Kloba et al.	709	248	4/28/00
	AC	7,016,966 B1	3/21/06	Saulpaugh et al.	709	230	10/19/00
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AP							
	AQ							
	AR							
	AS							
	AT							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AU	
	AV	
	AW	
	AX	
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	AZ	
	BA	
	BB	

Examiner:

Date Consider

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.